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10/612,372

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EXAMINER

DUONG, CHRISTINE T

ART UNIT

PAPER NUMBER

2616

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Office Action Summary

Application No.

10/612,372

Applicant(s)

PARK ET AL.

Examiner

Christine Duong

Art Unit

2616

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-4 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) ✓
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_.

- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_.

## **DETAILED ACTION**

### ***Response to Amendment***

This is in response to the Applicant's arguments and amendments filed on 21 August 2007 in which claims 1-4 are currently pending.

### ***Claim Objections***

1. Claims 1 and 3-4 are objected to because of the following informalities:

Regarding claim 1, it is unclear whether the limitations "an allocating resource request" in lines 3, 7 and 12 are intended to be the same as or different from each other.

Additionally, regarding claim 1, it is unclear whether the limitations "a transferring data request" in lines 6, 10 and 15 are intended to be the same as or different from each other.

Additionally, regarding claim 1, it is suggested to rewrite "the QoS core routing means" in line 13 as --the at least one QoS core routing means--.

Regarding claim 3, it is unclear whether the limitations "a path" in lines 4 and 5 and the limitation "the resource path" in line 8 are intended to be the same as or different from each other.

Regarding claim 4, it is unclear whether the limitation "a path" in line 6, the limitation "the path" in line 8 and the limitation "the resource path" in line 10 are intended to be the same as or different from each other.

Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Krishnamurthy et al. further in view of Kobayashi (PG Pub US 2003/0048750 A1).

Regarding claim 1, Krishnamurthy et al. discloses a routing apparatus for guaranteeing Quality of Service (QoS) in the Internet (figs. 1 and 4), comprising:

a QoS edge routing means at a transmitter (network ingress edge element 102, fig. 1 or 404, fig. 4; where "the term "edge router" shall be understood as including an ingress edge element", [0034]) for receiving an allocating resource request from a transmitting node (QUERY message: "QUERY packet 402 that travels from the source node 400 to the network ingress edge element 404 ... With the QUERY packet 402 the source node 400 indicates the QoS level it is requesting", [0091]), setting a first path at a QoS data rate by signaling for setting the first path (ACK message: "Routing list (Lrouter): This list indicates the address of the core routers traversed by the request messages", [0056] and "The amount and level of reserved resources is translated to a data transfer rate having a specific quality of service level", [0035]) and transferring data at the QoS data rate through the first path by receiving a transferring data request from the transmitting node ("the architecture allows for the establishment of a data flow when

Art Unit: 2616

a source node 100 transmits a reservation packet to an ingress edge element 102”  
[0025]);

at least one QoS core routing means (plurality of core routers 106, fig. 1 or 406, fig. 4) for receiving an allocating resource request from the QoS edge routing means at the transmitter (“The QUERY packet 402 then travels through a plurality of routers 406 ... With the QUERY packet 402 the source node 400 indicates the QoS level it is requesting”, [0091]), setting a second path at the QoS data rate by signaling for setting the second path (ACK message: “Routing list (Lrouter): This list indicates the address of the core routers traversed by the request messages”, [0056] and “The amount and level of reserved resources is translated to a data transfer rate having a specific quality of service level”, [0035]) and transferring data at the QoS data rate through the second path by receiving a transferring data request from the QoS edge routing means at the transmitter (“the architecture allows for the establishment of a data flow when ... the ingress edge element 102 registers the reservation and forwards the request to the first of the core routers 106”, [0025]); and

a QoS edge routing means at a receiver (network egress edge element 108, fig. 1 or 408, fig. 4; where “the term “edge router” shall be understood as including ... an egress edge element”, [0034]) for receiving an allocating resource request from the QoS core routing means (“The QUERY packet 402 then travels through a plurality of routers 406 to the egress edge element 408 ... With the QUERY packet 402 the source node 400 indicates the QoS level it is requesting”, [0091]), setting a third path at the QoS data rate by signaling for setting the third path (ACK message: “Routing list

Art Unit: 2616

(Lrouter): This list indicates the address of the core routers traversed by the request messages", [0056] and "The amount and level of reserved resources is translated to a data transfer rate having a specific quality of service level", [0035]) and transferring data at the QoS data rate through the third path by receiving a transferring data request from the at least one QoS core routing means ("the architecture allows for the establishment of a data flow when ... the core router 106 will either reject, accept, or modify the received request, indicate the price for the requested level of service, and forward the reservation to the next hop along the path to the destination, where the process is repeated until the reservation packet reaches the destination node 110", [0025]),

wherein the transmitting node separates multimedia application data and general application data ("service differentiation is achieved by marking a packet as belonging to different QoS levels. This can either be achieved by marking the packets at the source node 500 or at the ingress edge element 504" [0096]), and the QoS data rate is based on required data rate for guaranteeing QoS based on application type ("provide network users with the means for making dynamic bandwidth reservations that are suitable for ... their applications' needs" [0020] and "the network resources are monitored and are configured to provide a plurality of predictable and dynamically variable quality of service levels, with each quality of service level guaranteeing a particular combination of network resources" [0006]).

However, Krishnamurthy et al. fails to specifically disclose the transmitting node separates multimedia application data and general application data, and the QoS data rate is based on required data rate for guaranteeing QoS based on application type.

Nevertheless, Kobayashi teaches “the packet discrimination unit 31 of the network relay apparatus 21A having the configuration discriminates and classifies the data (packets PKT) flowing from the subnetwork 23A. For example, UDP is used for multimedia data, while TCP is used for guaranteeing the reliability of the data transmission for http data or spreadsheet data” (Kobayashi [0090]) and “the selecting means 14 is comprised of a route selection condition setting unit 33 for setting a condition for selecting the optimal route of paths for transfer of each data separated in accordance with a data classification condition serving as a reference for discrimination of the type of data based on bandwidth information Ib and congestion information Ic obtained from other network relay apparatuses (21B to 21E) and makes the holding means 15 hold the optimal route (Ir) selected in accordance with the route selection condition setting unit 33” (Kobayashi [0083]).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to have the transmitting node separate multimedia and general application data and to base the QoS data rate on required data rate for guaranteeing QoS based on application type because “the classification condition setting unit 32 is set with the TCP and UDP as the classification conditions and discriminates the TCP data and UDP data to separate the data” (Kobayashi [0091]) and “it is possible to transmit data for each type of traffic, that is, for each type of data, while selecting the optimal route commensurate with that type” (Kobayashi [0098]).

Regarding claim 2, Krishnamurthy et al. discloses everything claimed as applied above (see *claim 1*). In addition, the QoS edge routing means at the transmitter

monitors whether a quantity of data transferred from the transmitting node is smaller than the allocated resource ("Packets sent in excess of the reserved network resources violate the source's service profile, (which was established during the reservation setup)", [0031]).

Regarding claims 3 and 4, Krishnamurthy et al. discloses a computer readable recording medium and a routing method for guaranteeing Quality of Service (QoS) in the Internet (figs. 1 and 4), comprising the steps of:

(a) receiving an allocating resource request from a transmitting node and setting a path to a receiving node at a QoS data rate by signaling of each router, a QoS edge router at a transmitter (network ingress edge element 102, fig. 1 or 404, fig. 4; where "the term "edge router" shall be understood as including an ingress edge element", [0034]), a QoS core router (plurality of core routers 106, fig. 1 or 406, fig. 4) and a QoS edge router at a receiver (network egress edge element 108, fig. 1 or 408, fig. 4; where "the term "edge router" shall be understood as including ... an egress edge element", [0034]), for setting a path (QUERY message: "QUERY packet 402 that travels from the source node 400 to the network ingress edge element 404. The QUERY packet 402 then travels through a plurality of routers 406 to the egress edge element 408 and finally to the destination node 410. With the QUERY packet 402 the source node 400 indicates the QoS level it is requesting, the amount of resources to reserve", [0091]; and ACK message: "Routing list (Lrouter): This list indicates the address of the core routers traversed by the request messages", [0056] and "The amount and level of reserved

Art Unit: 2616

resources is translated to a data transfer rate having a specific quality of service level", [0035]); and

(b) receiving a transferring data request from the transmitting node and transferring data at the QoS data rate to the receiving node through the resource path reserved by the QoS edge router at the transmitter, the QoS core router and the QoS edge router at the receiver ("the architecture allows for the establishment of a data flow when a source node 100 transmits a reservation packet to an ingress edge element 102, the ingress edge element 102 registers the reservation and forwards the request to the first of the core routers 106, the core router 106 will either reject, accept, or modify the received request, indicate the price for the requested level of service, and forward the reservation to the next hop along the path to the destination, where the process is repeated until the reservation packet reaches the destination node 110" [0025]),

wherein the transmitting node separates multimedia application data and general application data ("service differentiation is achieved by marking a packet as belonging to different QoS levels. This can either be achieved by marking the packets at the source node 500 or at the ingress edge element 504" [0096]), and the QoS data rate is based on required data rate for guaranteeing QoS based on application type ("provide network users with the means for making dynamic bandwidth reservations that are suitable for ... their applications' needs" [0020] and "the network resources are monitored and are configured to provide a plurality of predictable and dynamically variable quality of service levels, with each quality of service level guaranteeing a particular combination of network resources" [0006]), and the computer executable instructions are implemented

Art Unit: 2616

in a high capacity microprocessor included in a routing apparatus for guaranteeing QoS in the Internet.

However, Krishnamurthy et al. fails to specifically disclose the transmitting node separates multimedia application data and general application data, and the QoS data rate is based on required data rate for guaranteeing QoS based on application type, and the computer executable instructions are implemented in a high capacity microprocessor included in a routing apparatus for guaranteeing QoS in the Internet.

Nevertheless, Kobayashi teaches "the packet discrimination unit 31 of the network relay apparatus 21A having the configuration discriminates and classifies the data (packets PKT) flowing from the subnetwork 23A. For example, UDP is used for multimedia data, while TCP is used for guaranteeing the reliability of the data transmission for http data or spreadsheet data" (Kobayashi [0090]) and "the selecting means 14 is comprised of a route selection condition setting unit 33 for setting a condition for selecting the optimal route of paths for transfer of each data separated in accordance with a data classification condition serving as a reference for discrimination of the type of data based on bandwidth information Ib and congestion information Ic obtained from other network relay apparatuses (21B to 21E) and makes the holding means 15 hold the optimal route (Ir) selected in accordance with the route selection condition setting unit 33" (Kobayashi [0083]) and "concentrate the resources of the network relay apparatus 21 such as the internal CPU or memory for packet transmission processing" (Kobayashi [0270]).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to have the transmitting node separate multimedia and general application data, to base the QoS data rate on required data rate for guaranteeing QoS based on application type and to implement the computer executable instructions in a high capacity microprocessor included in a routing apparatus for guaranteeing QoS in the Internet because "the classification condition setting unit 32 is set with the TCP and UDP as the classification conditions and discriminates the TCP data and UDP data to separate the data" (Kobayashi [0091]) and "it is possible to transmit data for each type of traffic, that is, for each type of data, while selecting the optimal route commensurate with that type" (Kobayashi [0098]).

#### ***Response to Arguments***

4. Previous objection to claim 2 is withdrawn in view of Applicant's amendment.
5. Previous 35 USC 101 rejection to claim 4 is withdrawn in view of Applicant's amendment.
6. Applicant's arguments with respect to claims 1-4 have been considered but are moot in view of the new ground(s) of rejection.

#### ***Conclusion***

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Art Unit: 2616

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christine Duong whose telephone number is (571) 270-1664. The examiner can normally be reached on Monday - Friday: 830 AM-6 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Seema Rao can be reached on (571) 272-3174. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2616

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

CTD 10/18/2007

CTD

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